# Ab Initio Conformational Analysis of 1,4-Dioxane 

Darren M. Chapman and Ronald E. Hester*<br>Department of Chemistry, University of York, Heslington, York YO1 5DD, England

Received: September 24, 1996; In Final Form: February 21, $1997^{\otimes}$


#### Abstract

The inversion of 1,4-dioxane has been studied by using ab initio molecular orbital theory at the HF/6-31G* and BLYP/6-31G* levels. A total of 10 stationary points were characterized as energy minima or transition states. Vibrational frequencies were calculated for the chair and two twist-boat conformations. The chair conformation is the lowest in energy, followed by the two twist-boats. The transition state connecting the chair and the twist-boats is a half-chair structure, in which four atoms in the ring are planar. Thermochemical analysis of the chair, twist-boats, and the half-chair structures yields a value of the free energy of activation to ring inversion that is in good agreement with experiment.


## Introduction

The conformational analysis of cyclohexane has been extensively studied and is well understood. It has played a major role in understanding the conformational analysis of saturated carbon compounds in general. At room temperature, cyclohexane exists almost entirely as the chair conformer. The other stable conformer, the twist-boat, was first observed in 1975 by Squillacote et al. ${ }^{1}$ when cyclohexane vapour at $800{ }^{\circ} \mathrm{C}$ was deposited into an argon matrix at 40 K , thus trapping the equilibrium mixture which contained approximately $30 \%$ twistboat. Warming the matrix to above 70 K decreased the intensity of the twist-boat infrared absorption bands. By measuring their rate of decay at different temperatures, the free energy of activation $\Delta G^{\ddagger}{ }_{\mathrm{tb}}$ for the twist-boat to chair transformation was calculated as $22.0 \pm 0.2 \mathrm{~kJ} \mathrm{~mol}^{-1}$. This study was followed by a more detailed investigation of the twist-boat bands by Offenbach et al. ${ }^{2}$ in 1981, which included normal-coordinate calculations for the chair, twist-boat, and boat conformations. More recently, a gas phase ${ }^{1} \mathrm{H}$ NMR study of cyclohexane by Ross and True ${ }^{3}$ has yielded thermodynamic parameters for the overall chair-to-chair inversion process.

There have been a number of recent theoretical studies on the conformational analysis of cyclohexane. ${ }^{4-8}$ The results are convincing in that the calculated $\Delta G^{\ddagger}$ of inversion compares well with the experimental data. The proposed mechanism of inversion is

$$
\begin{aligned}
\text { chair } \rightarrow & {[\text { half-chair }] \rightarrow \text { twist-boat } \rightarrow } \\
& {[\text { boat }] \rightarrow \ldots \rightarrow \text { twist-boat } \rightarrow[\text { half-chair }] \rightarrow \text { chair } }
\end{aligned}
$$

with the $\Delta G^{\ddagger}{ }_{c}$ of inversion being associated with the step chair $\rightarrow$ [half-chair]. For cyclohexane, this barrier is in the range of $40-45 \mathrm{~kJ} \mathrm{~mol}^{-1}$.

There has been comparatively little work reported on 1,4dioxane and, to our knowledge, no $a b$ initio study of its various conformers. Electron diffraction shows the molecule to have a chair conformation that is slightly more puckered than cyclohexane. ${ }^{9}$ The ring inversion barrier was finally measured in 1971 by two groups. Jensen et al. ${ }^{10}$ observed the changes in the ${ }^{13} \mathrm{C}$ NMR satellite spectra of a $100 \mathrm{MHz}{ }^{1} \mathrm{H}$ spectrum, while Anet et al. ${ }^{11}$ measured the ${ }^{1} \mathrm{H}$ NMR spectrum of hexadeuterio-

[^0]1,4-dioxane at low temperature. Both groups calculated $\Delta G^{\ddagger}{ }_{c}$ for ring inversion to be $40.6 \mathrm{~kJ} \mathrm{~mol}^{-1}$.

A theoretical study of 1,4-dioxane by Pickett and Strauss ${ }^{12}$ evaluated the energies of all significant conformations using a potential function derived from vibrational and geometrical data. They calculated the $\Delta G^{\ddagger}$ c for chair $\rightarrow$ twist-boat to be 42.2 kJ $\mathrm{mol}^{-1}$.

One interesting feature of 1,4-dioxane not present in cyclohexane are two possible forms of the twist-boat, boat, half-chair, and sofa structures. This arises from the fact that the two oxygens can occupy two different positions. For example, in the boat conformer, either two oxygen atoms can be at the apex or two carbon atoms.

## Methods

The geometries, energies, and IR and Raman spectra of several conformers of 1,4-dioxane were calculated using $a b$ initio molecular orbital theory.

On the basis of previous work on cyclohexane, 10 different conformers were studied. These are the chair, two twist-boats, two boats, two half-chairs, two sofas, and a planar structure (see Figures $1 \mathrm{a}-\mathrm{h}$ ). The half-chairs have four coplanar atoms, while the sofas have five coplanar atoms. These conformers were studied because the calculations on cyclohexane indicated that they are important intermediates along the inversion pathway.

The geometries of all the conformers were initially optimized using Hartree-Fock (HF) theory with the $6-31 \mathrm{G}^{*}$ basis set. ${ }^{13}$ The gradient techniques in the CADPAC $6.0^{14}$ package were used for this purpose. Full use of symmetry was made wherever possible. For saddle point geometries, a constrained optimization was performed using the appropriate point group. This ensured that the optimization did not lead to a local minimum geometry.

The HF/6-31G* optimized structures were used as the starting point for a density functional theory $\mathrm{BLYP}^{17} / 6-31 \mathrm{G}^{*}$ geometry optimization, using the HIGH grid option in CADPAC. The HF/6-31G* optimized structures also were used for a single point MP2/6-31G* energy calculation for comparison with the HF and BLYP energies. Because of a high computational overhead, it was not considered to be justified for this essentially mechanistic study to optimize the geometries at the MP2/631G* level.


Figure 1. Eight conformations for 1,4-dioxane in order of increasing energy.

The vibrational wavenumbers and IR and Raman spectra were calculated for all the conformers at the HF/6-31G* level. In order to perform the vibrational analysis, the force constant matrices in Cartesian coordinates were transformed to the corresponding matrices in symmetry coordinates. ${ }^{15}$ The symmetry coordinates were simply the appropriate combinations of internal coordinates as defined by the symmetry group. Vibrational analysis was then performed using the usual Schachtschneider FG matrix programs. ${ }^{16}$ Vibrational wavenumbers were calculated for only the chair and two twist-boats at the BLYP/6-31G* level.

Finally, the thermochemical data for the chair, 1,4 half-chair, and 2,5 twist-boat conformers of dioxane at 298.15 and 100 K were calculated at the HF/6-31G* level using Gaussian $94 .{ }^{18}$ For comparison, a thermochemical analysis on the chair, halfchair and twist-boat conformers of cyclohexane was also performed. All wavenumbers used in the thermochemical analysis were scaled by a factor of 0.896 , derived from the comparison with experimental data (see below).

## Results and Discussion

Optimized Geometries and Energies. The lowest energy structure, as expected, was found to be the chair form of $C_{2 h}$

TABLE 1: Conformation Energetics (in $\mathrm{kJ} \mathrm{mol}^{-1}$ ) for 1,4-Dioxane

| conformer | $\Delta E(\mathrm{HF})$ | $\Delta E(\mathrm{BLYP})$ | $\Delta E(\mathrm{MP} 2)^{a}$ | $n_{\text {ivibs }}$ |
| :--- | ---: | :---: | :---: | :---: |
| $c$ hair $\left(C_{2 \mathrm{~h}}\right)$ | 0.0 | 0.0 | 0.0 | 0 |
| 2,5 twist-boat $\left(C_{2}\right)$ | +28.5 | +29.1 | +31.2 | 0 |
| 1,4 twist-boat $\left(D_{2}\right)$ | +27.7 | +30.1 | +31.2 | 0 |
| 2,5 boat $\left(C_{2}\right)$ | +29.5 | +30.8 | +33.4 | 1 |
| 1,4 boat $\left(C_{2 \mathrm{v}}\right)$ | +36.7 | +34.4 | +40.2 | 1 |
| 2,5 half- $c$ hair $\left(C_{1}\right)$ | +41.4 | +39.0 | +47.4 | 1 |
| 1,4 sofa $\left(C_{\mathrm{s}}\right)$ | +43.1 | +41.7 | +49.3 | 1 |
| 1,4 half- chair $\left(C_{2}\right)$ | +46.1 | +45.2 | +53.6 | 1 |
| 2,5 sofa $\left(C_{1}\right)$ | +46.4 | +45.4 | +53.7 | 1 |
| planar $\left(D_{2 \mathrm{~h}}\right)$ | +103.0 | +99.1 | +121.0 | 3 |

${ }^{a}$ MP2 energies calculated at HF optimized geometry.
TABLE 2: HF/6-31G* and Experimental Parameters ${ }^{a}$ for the Chair, 1,4 Twist-Boat, and 2,5 Twist-Boat Conformers of 1,4 Dioxane

| parameter | theoretical value | exptl value | parameter | theoretical value | exptl value |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Chair |  |  |  |  |  |
| CC | 1.54 | 1.523 | COC | 110.3 | 112.4 |
| CO | 1.44 | 1.423 | $\mathrm{CCH}_{\text {e }}$ | 111.3 |  |
| $\mathrm{CH}_{\text {e }}$ | 1.10 | 1.112 | $\mathrm{CCH}_{\mathrm{a}}$ | 109.6 |  |
| $\mathrm{CH}_{\mathrm{a}}$ | 1.11 | 1.112 | OCCO | 57.5 | 57.0 |
| CCO | 110.6 | 109.2 | CCOC | -57.3 | -57.0 |
| 1,4 Twist-Boat |  |  |  |  |  |
| CC | 1.52 |  | COC | 115.1 |  |
| CO | 1.41 |  | $\mathrm{CCH}_{1}$ | 109.7 |  |
| $\mathrm{CH}_{1}$ | 1.09 |  | $\mathrm{CCH}_{2}$ | 110.3 |  |
| $\mathrm{CH}_{2}$ | 1.08 |  | OCCO | 60.0 |  |
| CCO | 111.5 |  | CCOC | -28.0 |  |
| 2,5 Twist-Boat |  |  |  |  |  |
| $\mathrm{OC}_{1}$ | 1.45 |  | $\mathrm{OC}_{2} \mathrm{C}_{1}$ | 110.1 |  |
| $\mathrm{OC}_{2}$ | 1.43 |  | OCCO | -37.3 |  |
| CC | 1.55 |  | $\mathrm{CCOC}_{1}$ | 63.4 |  |
| $\mathrm{OCH}_{1}$ | 105.5 |  | $\mathrm{C}_{2} \mathrm{OCC}$ | -25.3 |  |
| $\mathrm{OCH}_{2}$ | 110.4 |  | $\mathrm{COCH}_{1}$ | -145.7 |  |
| $\mathrm{OCH}_{3}$ | 106.5 |  | $\mathrm{COCH}_{2}$ | 97.6 |  |
| $\mathrm{OCH}_{4}$ | 110.0 |  | $\mathrm{COCH}_{3}$ | 176.7 |  |
| COC | 111.7 |  | $\mathrm{COCH}_{4}$ | -59.9 |  |
| $\mathrm{OC}_{1} \mathrm{C}_{2}$ | 110.9 |  |  |  |  |

${ }^{a}$ Bond lengths in angstroms and interbond and torsional angles in degrees. Experimental values taken from ref 8.
symmetry. Energies for the other structures relative to the chair structure are given in Table 1. For all structures other than those corresponding to energy minima, one or more imaginary frequencies, $n_{\text {ivibs, }}$ occurs in the vibrational mode analysis. The deviations between the HF and BLYP sets of energies given in Table 1 are seen to be small. The MP2 values all are larger but the relative ordering is identical apart from the two twistboat forms (see below).

The geometric parameters for the chair and twist-boat conformers are given in Table 2. Only the structure of the chair form has been determined experimentally, and the experimental values ${ }^{9}$ from gas phase electron diffraction are also given in Table 2.

There are two other energy minima structures. These are the 2,5 twist-boat and the 1,4 twist-boat. The HF/6-31G* calculation predicted the 1,4 twist-boat to be the more stable of the two. However, this order was reversed using density functional theory (DFT) at the BLYP/6-31G* level, with the 2,5 twist-boat being the more stable. We may conclude that these two structures have closely similar energies since the small differences between them are similar to the uncertainties expected in the values themselves. The single-point MP2/6$31 G^{*}$ energy calculations served to confirm this (see Table 1).

TABLE 3: Scaled HF, BLYP, and Experimental Wavenumbers and HF IR and Raman Intensities for the Chair Conformer of 1,4-Dioxane

| wavenumber/ $\mathrm{cm}^{-1}$ |  |  | $\begin{gathered} \text { IR } \\ \text { intensity (HF) } \end{gathered}$ | Raman intensity (HF) | sym | potential energy distribution ${ }^{b}$ (HF) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| HF | BLYP | exptl ${ }^{a}$ |  |  |  |  |
| 2944 | 2992 | 2970 | 0.0 | 85.3 | $\mathrm{a}_{\mathrm{g}}$ | $97 v\left(\mathrm{CH}_{\mathrm{e}}\right)$ |
| 2943 | 2990 | 2970 | 43.7 | 0.0 | $\mathrm{b}_{\mathrm{u}}$ | $87 v\left(\mathrm{CH}_{\mathrm{e}}\right)+12 v\left(\mathrm{CH}_{\mathrm{a}}\right)$ |
| 2941 | 2987 | 2968 | 0.0 | 54.4 | $\mathrm{b}_{\mathrm{g}}$ | $89 v\left(\mathrm{CH}_{\mathrm{e}}\right)+11 v\left(\mathrm{CH}_{\mathrm{a}}\right)$ |
| 2940 | 2986 | 2968 | 61.8 | 0.0 | $\mathrm{a}_{\mathrm{u}}$ | $97 v\left(\mathrm{CH}_{\mathrm{e}}\right)$ |
| 2865 | 2888 | 2863 | 86.6 | 0.0 | $\mathrm{b}_{\mathrm{u}}$ | $88 v\left(\mathrm{CH}_{\mathrm{a}}\right)+12 v\left(\mathrm{CH}_{\mathrm{e}}\right)$ |
| 2860 | 2880 | 2863 | 0.0 | 100.0 | $\mathrm{a}_{\mathrm{g}}$ | $98 v\left(\mathrm{CH}_{\mathrm{a}}\right)$ |
| 2857 | 2872 | 2856 | 0.0 | 6.8 | $\mathrm{b}_{\mathrm{g}}$ | $89 v\left(\mathrm{CH}_{\mathrm{a}}\right)+11 v\left(\mathrm{CH}_{\mathrm{e}}\right)$ |
| 2851 | 2866 | 2856 | 19.6 | 0.0 | $\mathrm{a}_{\mathrm{u}}$ | $97 v\left(\mathrm{CH}_{\mathrm{a}}\right)$ |
| 1491 | 1472 | 1459 | 0.0 | 2.6 | $\mathrm{a}_{\mathrm{g}}$ | $73 \delta(\mathrm{HCH})+11 \delta\left(\mathrm{OCH}_{\mathrm{a}}\right)$ |
| 1484 | 1464 | 1457 | 2.4 | 0.0 | $\mathrm{b}_{\mathrm{u}}$ | $72 \delta(\mathrm{HCH})+12 \delta\left(\mathrm{OCH}_{\mathrm{e}}\right)+11 \delta\left(\mathrm{OCH}_{\mathrm{a}}\right)$ |
| 1476 | 1453 | 1449 | 0.2 | 0.0 | $\mathrm{a}_{\mathrm{u}}$ | $75 \delta$ (HCH) |
| 1470 | 1451 | 1444 | 0.0 | 11.7 | $\mathrm{b}_{\mathrm{g}}$ | $79 \delta$ (HCH) |
| 1424 | 1386 | 1397 | 0.0 | 1.3 | $\mathrm{a}_{\mathrm{g}}$ | $32 \delta\left(\mathrm{CCH}_{\mathrm{a}}\right)+22 \delta\left(\mathrm{OCH}_{\mathrm{a}}\right)+16 \delta\left(\mathrm{OCH}_{\mathrm{e}}\right)+15 v(\mathrm{CC})$ |
| 1403 | 1376 | 1378 | 5.7 | 0.0 | $\mathrm{b}_{\mathrm{u}}$ | $37 \delta\left(\mathrm{CCH}_{\mathrm{e}}\right)+27 \delta\left(\mathrm{OCH}_{\mathrm{e}}\right)+14 \delta\left(\mathrm{OCH}_{\mathrm{a}}\right)+12 \delta\left(\mathrm{CCH}_{\mathrm{a}}\right)$ |
| 1386 | 1355 | 1369 | 22.1 | 0.0 | $\mathrm{a}_{\mathrm{u}}$ | $36 \delta\left(\mathrm{OCH}_{\mathrm{a}}\right)+35 \delta\left(\mathrm{CCH}_{\mathrm{a}}\right)+14 \delta\left(\mathrm{OCH}_{\mathrm{e}}\right)$ |
| 1347 | 1321 | 1335 | 0.0 | 1.7 | $\mathrm{b}_{\mathrm{g}}$ | $34 \delta\left(\mathrm{CCH}_{\mathrm{e}}\right)+27 \delta\left(\mathrm{OCH}_{\mathrm{e}}\right)+25 \delta\left(\mathrm{OCH}_{\mathrm{a}}\right)+12 \delta\left(\mathrm{CCH}_{\mathrm{a}}\right)$ |
| 1306 | 1285 | 1305 | 0.0 | 9.2 | $\mathrm{a}_{\mathrm{g}}$ | $46 \delta\left(\mathrm{OCH}_{\mathrm{e}}\right)+22 \delta\left(\mathrm{OCH}_{\mathrm{a}}\right)+11 \delta\left(\mathrm{CCH}_{\mathrm{a}}\right)+11 \delta\left(\mathrm{CCH}_{\mathrm{e}}\right)$ |
| 1298 | 1277 | 1291 | 7.5 | 0.0 | $\mathrm{b}_{\mathrm{u}}$ | $50 \delta\left(\mathrm{OCH}_{\mathrm{a}}\right)+32 \delta\left(\mathrm{OCH}_{\mathrm{e}}\right)$ |
| 1264 | 1238 | 1256 | 36.5 | 0.0 | $\mathrm{a}_{\mathrm{u}}$ | $39 \delta\left(\mathrm{OCH}_{\mathrm{e}}\right)+26 \delta\left(\mathrm{CCH}_{\mathrm{e}}\right)+12 v(\mathrm{OC})+11 \delta\left(\mathrm{CCH}_{\mathrm{a}}\right)$ |
| 1220 | 1198 | 1217 | 0.0 | 4.3 | $\mathrm{b}_{\mathrm{g}}$ | $47 \delta\left(\mathrm{OCH}_{\mathrm{a}}\right)+32 \delta\left(\mathrm{OCH}_{\mathrm{e}}\right)+11 \delta\left(\mathrm{CCH}_{\mathrm{a}}\right)$ |
| 1163 | 1112 | 1136 | 100.0 | 0.0 | $\mathrm{a}_{\mathrm{u}}$ | $70 v(\mathrm{OC})+15 v(\mathrm{CC})$ |
| 1155 | 1077 | 1128 | 0.0 | 1.7 | $\mathrm{b}_{\mathrm{g}}$ | $82 v$ (OC) |
| 1139 | 1065 | 1110 | 0.0 | 1.5 | $\mathrm{a}_{\mathrm{g}}$ | $26 \delta\left(\mathrm{CCH}_{\mathrm{e}}\right)+25 \delta\left(\mathrm{CCH}_{\mathrm{a}}\right)+20 \delta\left(\mathrm{OCH}_{\mathrm{a}}\right)$ |
| 1097 | 1063 | 1086 | 2.8 | 0.0 | $\mathrm{a}_{\mathrm{u}}$ | $31 \delta\left(\mathrm{OCH}_{\mathrm{a}}\right)+23 \delta\left(\mathrm{OCH}_{\mathrm{e}}\right)+16 \delta\left(\mathrm{CCH}_{\mathrm{a}}\right)+12 \delta\left(\mathrm{CCH}_{\mathrm{e}}\right)$ |
| 1042 | 1029 | 1052 | 6.5 | 0.0 | $\mathrm{b}_{\mathrm{u}}$ | $28 \delta(\mathrm{OCC})+28 \delta\left(\mathrm{CCH}_{\mathrm{e}}\right)+16 \delta\left(\mathrm{CCH}_{\mathrm{a}}\right)+11 \delta\left(\mathrm{OCH}_{\mathrm{e}}\right)$ |
| 1006 | 964 | 1015 | 0.0 | 6.6 | $\mathrm{ag}_{\mathrm{g}}$ | $45 v(\mathrm{OC})+41 v$ (CC) |
| 890 | 858 | 889 | 40.6 | 0.0 | $\mathrm{b}_{\mathrm{u}}$ | $59 v(\mathrm{OC})+19 \delta(\mathrm{COC})+11 \delta\left(\mathrm{CCH}_{\mathrm{e}}\right)$ |
| 874 | 837 | 881 | 12.5 | 0.0 | $\mathrm{a}_{\mathrm{u}}$ | $47 v(\mathrm{CC})+26 \delta\left(\mathrm{CCH}_{\mathrm{e}}\right)+12 v(\mathrm{OC})$ |
| 852 | 833 | 853 | 0.0 | 1.1 | $\mathrm{b}_{\mathrm{g}}$ | $31 \delta\left(\mathrm{CCH}_{\mathrm{a}}\right)+27 \delta\left(\mathrm{CCH}_{\mathrm{e}}\right)+23 v(\mathrm{OC})+16 \delta\left(\mathrm{OCH}_{\mathrm{e}}\right)$ |
| 832 | 811 | 837 | 0.0 | 6.2 | $\mathrm{ag}_{\mathrm{g}}$ | $53 v(\mathrm{OC})+35 v(\mathrm{CC})$ |
| 600 | 594 | 610 | 8.9 | 0.0 | $\mathrm{b}_{\mathrm{u}}$ | $35 \delta(\mathrm{OCC})+32 \delta\left(\mathrm{CCH}_{\mathrm{a}}\right)+12 \delta(\mathrm{COC})+10 \delta\left(\mathrm{CCH}_{\mathrm{e}}\right)$ |
| 478 | 471 | 490 | 0.0 | 1.0 | $\mathrm{b}_{\mathrm{g}}$ | $54 \delta(\mathrm{OCC})+28 \delta\left(\mathrm{CCH}_{\mathrm{a}}\right)$ |
| 420 | 429 | 435 | 0.0 | 0.1 | $\mathrm{ag}_{\mathrm{g}}$ | $47 \delta(\mathrm{COC})+12 \delta\left(\mathrm{CCH}_{\mathrm{e}}\right)+10 \tau(\mathrm{OCCO})$ |
| 397 | 407 | 424 | 0.0 | 0.1 | $\mathrm{ag}_{\mathrm{g}}$ | $48 \tau(\mathrm{COCC})+23 \tau(\mathrm{OCCO})+11 \delta\left(\mathrm{CCH}_{\mathrm{e}}\right)$ |
| 258 | 266 | 288 | 12.3 | 0.0 | $\mathrm{b}_{\mathrm{u}}$ | $69 \tau$ (COCC) $+22 \delta$ (COC) |
| 237 | 242 | 274 | 0.6 | 0.0 | $\mathrm{a}_{\mathrm{u}}$ | $55 \tau(\mathrm{OCCO})+22 \tau(\mathrm{COCC})+16 \delta(\mathrm{OCC})$ |

aExperimental values taken from ref $18 .{ }^{b}$ Key: $v$ indicates bond stretching, $\delta$ interbond angle deformation, and $\tau$ torsional angle deformation.

The boat structures are not energy minima structures, but are transition states, as indicated by the occurrence of an imaginary frequency in the vibrational analysis. Surprisingly, the 2,5 boat is the lower energy conformer of the two. However, vibrational analysis of the 2,5 twist-boat indicates that less displacement is required to achieve the 2,5 boat than the 1,4 boat.

One point worth noting about the other conformations is that the 1,4 sofa is more stable than the 1,4 half-chair, even though the sofa is more constrained than the half-chair. The most likely explanation for this is that an arrangement in which the oxygen atoms are coplanar in a ring is unstable due to the repulsions between electron lone pairs.

The energy and the number of imaginary vibrations for the planar structure indicate that this is not a transition state, but simply a very high-energy structure.

Vibrational Analysis. The vibrational spectrum of the chair conformation is the only one which has been measured experimentally. ${ }^{19}$ The theoretically calculated wavenumbers for the chair conformer were therefore scaled linearly to the experimental values using least-squares fitting. The linear scaling factors and the standard deviations were found to be 0.896 and $20 \mathrm{~cm}^{-1}$, respectively, at the HF/6-31G* level of theory and 0.990 and $24 \mathrm{~cm}^{-1}$, respectively, at the BLYP/631G* level.

We note that density functional theory using the BLYP functional gives better unscaled wavenumbers than does Har-tree-Fock theory, i.e., scale factor closer to unity. However, the scaled Hartree-Fock wavenumbers are slightly better than
the DFT wavenumbers, i.e., smaller standard deviation. Because of this, the scaled Hartree-Fock force constant matrices were used for the vibrational analysis.

The scaled HF/6-31G* vibrational wavenumbers and IR and Raman intensities for the chair and the two twist-boat conformers of 1,4-dioxane are given in Tables 3-5. Also given are the HF/6-31G* potential energy distribution components greater than $10 \%$. Tables 3-5 also contain the scaled BLYP/6-31G* wavenumbers for comparison purposes.

Chair. The chair conformation has a center of inversion, and there is a mutual exclusion between IR and Raman bands. The 36 fundamental vibrations can be divided into $10 \mathrm{a}_{\mathrm{g}}$ (Raman active), $9 \mathrm{a}_{\mathrm{u}}$ (infrared active), $8 \mathrm{~b}_{\mathrm{g}}$ (Raman active), and $9 \mathrm{~b}_{\mathrm{u}}$ (infrared active) modes.

The vibrations in the region $2950-2850 \mathrm{~cm}^{-1}$ are all CH stretches, those in the region $1500-1470 \mathrm{~cm}^{-1}$ are HCH scissoring. HCH wagging and rocking modes occur in the region $1450-1040 \mathrm{~cm}^{-1}$, while the region $1000-230 \mathrm{~cm}^{-1}$ contains mainly ring deformations and torsions.

The lowest wavenumber vibrations are particularly interesting. The vibration at $237 \mathrm{~cm}^{-1}$ corresponds to conversion of the chair to the 1,4 half-chair, and the vibration at $397 \mathrm{~cm}^{-1}$ corresponds to conversion to the planar structure.

Twist-Boat. The 2,5 twist-boat has $C_{2}$ symmetry, and its 36 fundamental vibrations can be divided into 19 of a-type symmetry, and 17 of b-type symmetry. There is no center of inversion and all vibrations are both IR and Raman active. The vibrations can be classified into groups in approximately the

TABLE 4: Scaled HF and BLYP Wavenumbers and HF IR and Raman Intensities for the 1,4 Twist-Boat Conformer of 1,4-Dioxane

| wavenumber/cm-1 |  | $\begin{gathered} \text { IR } \\ \text { intensity (HF) } \end{gathered}$ | Raman intensity (HF) | sym | potential energy distribution ${ }^{a}$ (HF) |
| :---: | :---: | :---: | :---: | :---: | :---: |
| HF | BLYP |  |  |  |  |
| 2915 | 2967 | 31.8 | 36.6 | $\mathrm{b}_{2}$ | $78 v\left(\mathrm{CH}_{2}\right)+21 v\left(\mathrm{CH}_{1}\right)$ |
| 2915 | 2964 | 0.0 | 82.4 | a | $97 v\left(\mathrm{CH}_{2}\right)$ |
| 2911 | 2963 | 64.7 | 2.4 | $\mathrm{b}_{1}$ | $96 v\left(\mathrm{CH}_{2}\right)$ |
| 2910 | 2961 | 19.3 | 7.9 | $\mathrm{b}_{3}$ | $87 v\left(\mathrm{CH}_{2}\right)+13 v\left(\mathrm{CH}_{1}\right)$ |
| 2857 | 2893 | 72.1 | 8.4 | $\mathrm{b}_{2}$ | $79 v\left(\mathrm{CH}_{1}\right)+21 v\left(\mathrm{CH}_{2}\right)$ |
| 2854 | 2887 | 0.0 | 100.0 | a | $98 v\left(\mathrm{CH}_{1}\right)$ |
| 2851 | 2883 | 1.2 | 1.8 | $\mathrm{b}_{3}$ | $88 v\left(\mathrm{CH}_{1}\right)+12 v\left(\mathrm{CH}_{2}\right)$ |
| 2840 | 2867 | 18.2 | 0.1 | $\mathrm{b}_{1}$ | $97 v\left(\mathrm{CH}_{1}\right)$ |
| 1500 | 1493 | 0.0 | 1.9 | a | $30 \delta(\mathrm{HCH})+14 \tau\left(\mathrm{H}_{1} \mathrm{COC}\right)+11 \tau\left(\mathrm{H}_{1} \mathrm{CCH}_{1}\right)+11 \tau\left(\mathrm{H}_{2} \mathrm{COC}\right)$ |
| 1489 | 1485 | 0.4 | 2.1 | $\mathrm{b}_{2}$ | $33 \delta(\mathrm{HCH})+27 \tau\left(\mathrm{H}_{1} \mathrm{CCH}_{2}\right)$ |
| 1483 | 1477 | 0.6 | 1.8 | $\mathrm{b}_{1}$ | $33 \delta(\mathrm{HCH})+14 \tau\left(\mathrm{H}_{1} \mathrm{COC}\right)$ |
| 1482 | 1475 | 0.7 | 8.6 | $\mathrm{b}_{3}$ | $27 \tau\left(\mathrm{H}_{1} \mathrm{CCH}_{2}\right)+27 \delta(\mathrm{HCH})$ |
| 1419 | 1384 | 0.0 | 0.4 | a | $24 \delta\left(\mathrm{CCH}_{1}\right)+20 v(\mathrm{CC})+12 v(\mathrm{CO})+11 \delta\left(\mathrm{OCH}_{2}\right)$ |
| 1394 | 1377 | 3.9 | 0.3 | $\mathrm{b}_{3}$ | $36 \delta\left(\mathrm{CCH}_{2}\right)+15 \delta\left(\mathrm{OCH}_{2}\right)+11 \delta\left(\mathrm{OCH}_{1}\right)$ |
| 1383 | 1364 | 15.0 | 1.8 | $\mathrm{b}_{1}$ | $39 \delta\left(\mathrm{CCH}_{1}\right)+13 \delta\left(\mathrm{OCH}_{2}\right)+12 v(\mathrm{CC})+11 \delta\left(\mathrm{OCH}_{1}\right)$ |
| 1340 | 1326 | 0.0 | 2.2 | $\mathrm{b}_{2}$ | $31 \delta\left(\mathrm{CCH}_{2}\right)+21 \delta\left(\mathrm{OCH}_{1}\right)+16 \delta\left(\mathrm{OCH}_{2}\right)+13 \delta\left(\mathrm{CCH}_{1}\right)$ |
| 1276 | 1267 | 0.0 | 4.6 | a | $27 \delta\left(\mathrm{OCH}_{2}\right)+15 \delta\left(\mathrm{CCH}_{1}\right)+13 \delta\left(\mathrm{CCH}_{2}\right)$ |
| 1256 | 1235 | 38.4 | 2.2 | $\mathrm{b}_{1}$ | $31 \delta\left(\mathrm{OCH}_{2}\right)+21 \nu(\mathrm{CO})+17 \delta\left(\mathrm{CCH}_{2}\right)+12 \delta\left(\mathrm{CCH}_{1}\right)$ |
| 1242 | 1232 | 0.7 | 3.4 | $\mathrm{b}_{3}$ | $36 \delta\left(\mathrm{OCH}_{1}\right)+21 \delta\left(\mathrm{OCH}_{2}\right)+15 \tau\left(\mathrm{H}_{1} \mathrm{CCO}\right)$ |
| 1219 | 1193 | 7.7 | 0.0 | $\mathrm{b}_{2}$ | $22 \delta\left(\mathrm{OCH}_{1}\right)+20 \delta\left(\mathrm{OCH}_{2}\right)+18 v(\mathrm{CO})+16 \delta\left(\mathrm{CCH}_{2}\right)$ |
| 1170 | 1101 | 100.0 | 2.2 | $\mathrm{b}_{1}$ | $66 v(\mathrm{CO})+14 v(\mathrm{CC})$ |
| 1160 | 1090 | 12.5 | 0.7 | $\mathrm{b}_{2}$ | $75 v$ (CO) |
| 1112 | 1078 | 0.0 | 1.1 | a | $14 \tau\left(\mathrm{H}_{1} \mathrm{COC}\right)+14 \tau\left(\mathrm{H}_{2} \mathrm{COC}\right)+11 \delta\left(\mathrm{CCH}_{1}\right)$ |
| 1093 | 1059 | 2.8 | 0.0 | $\mathrm{b}_{1}$ | $15 \delta\left(\mathrm{CCH}_{1}\right)+11 \tau\left(\mathrm{H}_{1} \mathrm{CCH}_{2}\right)+11 \delta\left(\mathrm{OCH}_{2}\right)+10 \tau\left(\mathrm{H}_{2} \mathrm{CCH}_{2}\right)$ |
| 1043 | 1035 | 1.9 | 1.9 | $\mathrm{b}_{3}$ | $23 \delta\left(\mathrm{CCH}_{2}\right)+16 \delta(\mathrm{CCO})+14 \delta(\mathrm{COC})$ |
| 1003 | 970 | 0.0 | 4.5 | a | $49 v(\mathrm{CO})+36 v(\mathrm{CC})$ |
| 877 | 844 | 37.0 | 0.0 | $\mathrm{b}_{3}$ | $68 v(\mathrm{CO})+22 \delta$ (COC) |
| 859 | 833 | 15.7 | 0.5 | $\mathrm{b}_{1}$ | $50 v(\mathrm{CC})+15 v(\mathrm{CO})+14 \delta\left(\mathrm{CCH}_{2}\right)$ |
| 854 | 826 | 1.9 | 1.0 | $\mathrm{b}_{2}$ | $28 \nu(\mathrm{CO})+29 \delta\left(\mathrm{CCH}_{1}\right)+17 \delta\left(\mathrm{CCH}_{2}\right)$ |
| 814 | 790 | 0.0 | 5.4 | a | $57 v(\mathrm{CO})+27 v(\mathrm{CC})$ |
| 579 | 575 | 1.0 | 0.2 | $\mathrm{b}_{3}$ | $24 \tau\left(\mathrm{H}_{2} \mathrm{COC}\right)+18 \delta(\mathrm{COC})+14 \delta(\mathrm{CCO})+13 \delta\left(\mathrm{CCH}_{1}\right)$ |
| 485 | 475 | 2.0 | 0.7 | $\mathrm{b}_{2}$ | $65 \delta(\mathrm{CCO})+15 \delta\left(\mathrm{CCH}_{1}\right)$ |
| 440 | 446 | 0.0 | 0.1 | a | $37 \delta(\mathrm{COC})+18 \delta(\mathrm{CCO})+15 v(\mathrm{CC})$ |
| 274 | 267 | 0.0 | 0.1 | a | $16 \tau(\mathrm{H} 1 \mathrm{CCO})+15 \tau(\mathrm{H} 1 \mathrm{COC})+10 \tau(\mathrm{OCCO})+10 \tau\left(\mathrm{H}_{1} \mathrm{CCH}_{1}\right)$ |
| 262 | 264 | 0.9 | 0.0 | $\mathrm{b}_{1}$ | $27 \tau(\mathrm{H} 1 \mathrm{COC})+15 \tau(\mathrm{H} 2 \mathrm{COC})+11 \tau(\mathrm{CCOC})+11 \tau\left(\mathrm{H}_{2} \mathrm{CCO}\right)$ |
| 91 | 44 | 9.8 | 0.0 | $\mathrm{b}_{2}$ | $37 \tau\left(\mathrm{H}_{1} \mathrm{COC}\right)+36 \tau\left(\mathrm{H}_{2} \mathrm{COC}\right)+25 \tau(\mathrm{CCOC})$ |

${ }^{a}$ Key: $v$ indicates bond stretching, $\delta$ interbond angle deformation, and $\tau$ torsional angle deformation.
same way as for the chair form, e.g., those in the region 2950$2850 \mathrm{~cm}^{-1}$ are all CH stretches. Again the lowest wavenumber vibrations are the most interesting from a conformational point of view. The vibration at $89 \mathrm{~cm}^{-1}$ corresponds to conversion to the boat forms. Both boat forms are obtainable via this vibration, but less displacement is required to achieve the 2,5 boat form than the 1,4 boat form.

The 1,4 twist-boat has $D_{2}$ symmetry, and its 36 fundamental vibrations can be divided into 10 of a-type symmetry, 9 of $b_{1-}$ type symmetry, 9 of $b_{2}$-type symmetry, and 8 of $b_{3}$-type symmetry. Once again, the vibrations can be classified into the same groups as for the chair form. Two different half-chair conformers are obtained via the vibration at $262 \mathrm{~cm}^{-1}$; vibration one way leads to the two oxygen atoms and carbon atoms 3 and 4 being planar, and vibration the other way leads to the two oxygen atoms and carbons 1 and 2 being planar (see Figure 1 g ). The lowest vibration at $91 \mathrm{~cm}^{-1}$ corresponds to conversion to the 2,5 boat.

Conformational Analysis. We propose two mechanisms for dioxane inversion:
chair $\rightarrow[1,4$ half-chair $] \rightarrow 1,4$ twist-boat $\rightarrow$

$$
[1,4 \text { half-chair }] \rightarrow \text { chair }
$$

and
chair $\rightarrow$ [1,4 half-chair $] \rightarrow 1,4$ twist-boat $\rightarrow$
$[2,5$ boat $] \rightarrow \ldots \rightarrow 1,4$ twist-boat $\rightarrow[1,4$ half-chair $] \rightarrow$ chair

This proposal is based on the vibrational analysis and by comparison with the established mechanism of inversion for cyclohexane.
Excitation to the 1,4 half-chair is via the lowest wavenumber vibration of the chair form. It is the simplicity of the mechanism of activation to this form that leads us to the conclusion that the 1,4 half-chair forms the barrier to inversion, rather than the lower energy 2,5 half-chair conformation. The 1,4 half-chair can then relax to either the 1,4 twist-boat structure or back to the chair form. If there is enough energy in the system, then this transformation can continue all the way through the 1,4 twist-boat to the other 1,4 half-chair. Otherwise, the molecule may become trapped in the 1,4 twist-boat conformation until enough energy is available to overcome the energy barrier. The two 1,4 twist-boat forms are connected to the 2,5 boat conformer via the lowest vibration at $91 \mathrm{~cm}^{-1}$. The calculated values for $\Delta G, \Delta H$, and $\Delta S$ for several transformations of cyclohexane and 1,4-dioxane are given in Table 6 for comparison.

If we assume that the inversion of both cyclohexane and dioxane is via a half-chair transition state, then the calculated $\Delta G^{\ddagger}{ }_{\mathrm{c}}$ of activation to ring inversion is +43.75 and +41.72 kJ $\mathrm{mol}^{-1}$, respectively. The HF calculated $\Delta G^{\ddagger}$ c for dioxane is in good agreement with the reported experimental ${ }^{10,11}$ value of 40.6 $\mathrm{kJ} \mathrm{mol}^{-1}$ and gives further evidence that the 1,4 half-chair is the transition state, rather than the 2,5 half-chair. The HF calculated $\Delta G^{\ddagger}$ for cyclohexane is also in good agreement with experiment: the gas phase NMR value ${ }^{3}$ is $43.7 \mathrm{~kJ} \mathrm{~mol}^{-1}$ and that from low temperature NMR studies ${ }^{20}$ is $43.1 \mathrm{~kJ} \mathrm{~mol}^{-1}$. A

TABLE 5: Scaled HF and BLYP Wavenumbers and HF IR and Raman Intensities for the $\mathbf{2 , 5}$ Twist-Boat Conformer of 1,4-Dioxane

| wavenumber/cm |  |  |  |  |  |
| :---: | ---: | :---: | :---: | :---: | :--- |
| HF | BLYP | IR <br> intensity $(\mathrm{HF})$ |  | Raman <br> intensity $(\mathrm{HF})$ | sym |

${ }^{a}$ Key: $v$ indicates bond stretching, $\delta$ interbond angle deformation, and $\tau$ torsional angle deformation.

TABLE 6: $\Delta G, \Delta H$ and $\Delta S$ for Selected Cyclohexane and 1,4-Dioxane Transformations Calculated at the HF/6-31G* Level ${ }^{a}$

| transformation | $\Delta G$ | $\Delta H$ | $\Delta S$ | $T$ |
| :---: | :---: | :---: | :---: | :---: |
| 1,4-Dioxane |  |  |  |  |
| chair $\rightarrow$ 1,4 twist-boat | +26.23 | +27.41 | +3.96 | 298.15 |
| chair $\rightarrow 1,4$ half-boat | +41.72 | +43.91 | +7.35 | 298.15 |
| 1,4 twist-boat $\rightarrow$ 1,4 half-chair | +16.81 | +17.80 | +9.90 | 100.00 |
| Cyclohexane |  |  |  |  |
| chair $\rightarrow$ twist-boat | +25.79 | +28.89 | +10.40 | 298.15 |
| chair $\rightarrow$ half-chair | +43.75 | +46.11 | +7.92 | 298.15 |
| twist-boat $\rightarrow$ half-chair | +18.15 | +18.64 | +4.90 | 100.00 |

${ }^{a}$ Units: $\Delta G$ and $\Delta H$ in $\mathrm{kJ} \mathrm{mol}^{-1}, \Delta S$ in $\mathrm{J} \mathrm{K}^{-1} \mathrm{~mol}^{-1}$, and temperature in K.
previously published calculation of this free energy of activation at the MP2 level ${ }^{4}$ gave $44.1 \mathrm{~kJ} \mathrm{~mol}^{-1}$. Our HF calculated activation enthalpy for cyclohexane $\left(\Delta H^{\ddagger}{ }_{\mathrm{c}}=46.1 \mathrm{~kJ} \mathrm{~mol}^{-1}\right)$ is somewhat lower than the value obtained from gas phase NMR studies ${ }^{3}$ ( $50.4 \mathrm{~kJ} \mathrm{~mol}^{-1}$ ), and here the previously published MP2 level calculations ${ }^{4}$ are in better agreement with the gas phase value. The fact that our HF/6-31G* level $\Delta H^{\ddagger}$ c value is closer to the experimental value from low-temperature NMR studies ${ }^{20}$ ( $45.2 \mathrm{~kJ} \mathrm{~mol}^{-1}$ ) is perhaps fortuitous, and it appears that less reliance can be placed on HF calculated $\Delta H^{\ddagger}$ c values than for $\Delta G^{\ddagger}$ c values for dioxane as well as for cyclohexane. It is the mechanism of inversion that is the main focus of interest in this paper, however, and accordingly we judge that our vibrational analysis obviates the need for further thermodynamic parameter calculations at the MP2 level.

Acknowledgment. We are grateful for financial support from the U.K. Engineering and Physical Sciences Research Council.

## References and Notes

(1) Squillacote, M.; Sheridan, R. S.; Chapman, O. L.; Anet, F. A. L. J. Am. Chem. Soc. 1975, 97, 3244.
(2) Offenbach, J. L.; Fredin, L.; Strauss, H. L. J. Am. Chem. Soc. 1981, 103, 1001.
(3) Ross, B. D.; True, N. S. J. Am. Chem. Soc. 1983, 105, 1382, 4871.
(4) Dixon, D. A.; Komornicki, A. J. Phys. Chem. 1990, 94, 5630.
(5) Leong, M. K.; Mastryukov, V. S.; Boggs, J. E. J. Phys. Chem. 1994, 98, 6961.
(6) Matyska, L.; Koca, J. J. Comput. Chem. 1994, 15, 937.
(7) Koca, J. J. Mol. Struct. (THEOCHEM) 1994, 308, 13.
(8) Ferguson, D. M.; Gould, I. R.; Glauser, W. A.; Schroeder, S.; Kollman, P. A. J. Comput. Chem. 1992, 13, 525.
(9) Davis, M.; Hassel, O. Acta Chem. Scand. 1963, 17, 1181.
(10) Jensen, F. R.; Neese, R. A. J. Am. Chem. Soc. 1971, 93, 6329.
(11) Anet, F. A. L.; Sandstrom, J. J. Chem. Soc., Chem.Comm. 1971, 1558.
(12) Pickett, H. M.; Strauss, H. L. J. Am. Chem. Soc. 1970, 92, 7281.
(13) Hariharan, P. C.; Pople, J. A. Theoret. Chim. Acta 1973, 28, 213.
(14) CADPAC: The Cambridge Analytic Derivatives Package Issue 6; Cambridge, 1995. A suite of quantum chemistry programs developed by R. D. Amos with contributions from I. L. Alberts, J. S. Andrews, S. M. Colwell, N. C. Handy, D. Jayatilaka, P. J. Knowles, R. Kobayashi, K. E. Laidig, G. Laming, A. M. Lee, P. E. Maslen, C. W. Murray, J. E. Rice, E. D. Simandiras, A. J. Stone, M.-D. Su, D. J. Tozer.
(15) Boatz, J. A.; Gordon, M. S. J. Phys. Chem. 1989, 93, 1819.
(16) Schachtschneider, H. J. Vibrational Analysis of Polyatomic Molecules (V and VI. Technical Report; Shell Development Co.: Emerville, CA, 1969.
(17) (a) Becke, A. D. Phys. Rev. A 1988, 38, 3098. (b) Lee, C.; Yang, W.; Parr, R. G. Phys. Rev. B 1988, 37, 785. (c) Miehlich, B.; Savin, A.; Stoll, H.; Preuss, H. Chem. Phys. Lett. 1989, 157, 200.
(18) Frisch, M. J.; Trucks, G. W.; Schlegel, H. B.; Gill, P. M. W.; Johnson, B. G.; Robb, M. A.; Cheeseman, J. R.; Keith, T.; Petersson, G. A.; Montgomery, J. A.; Raghavachari, K.; Al-Laham, M. A.; Zakrzewski, V. G.; Ortiz, J. V.; Foresman, J. B.; Cioslowski, J.; Stefanov, B. B.; Nanayakkara, A.; Challacombe, M.; Peng, C. Y.; Ayala, P. Y.; Chen, W.; Wong, M. W.; Andres, J. L.; Replogle, E. S.; Gomperts, R.; Martin, R. L.;

Fox, D. J.; Binkley, J. S.; Defrees, D. J.; Baker, J.; Stewart, J. P.; HeadGordon, M.; Gonzalez, C.; Pople, J. A. Gaussian 94, Revision D.3; Gaussian, Inc.: Pittsburgh, PA, 1995.
(19) Ellestad, O. H.; Klaboe, P.; Hagen, G. Spectrochim. Acta 1971, 27A, 1025.
(20) Anet, F. A. L.; Bourn, A. J. R. J. Am. Chem. Soc. 1967, 89, 760.


[^0]:    ${ }^{\otimes}$ Abstract published in Advance ACS Abstracts, April 15, 1997.

